

APR 26 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Tomohiro NAKAJIMA, et al.

SERIAL NO: 09/769,510

GAU: 2872

FILED: January 26, 2001

EXAMINER:

FOR: OPTICAL SCAN MODULE, OPTICAL SCANNER, OPTICAL SCAN METHOD, IMAGE GENERATION AND IMAGE READER

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☒ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s) or issued patent(s) which may be related to the present application. A copy of the patent(s), together a copy of the claims and drawings of the pending application(s) is attached along with PTO 1449.
- ☐ A check is attached in the amount required under 37 CFR §1.17(p).

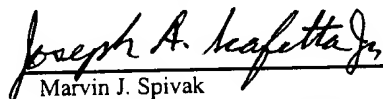
CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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APR 30 2001
TC 2800 MAIL ROOM

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT

Tomohiro NAKAJIMA, et al.

FILING DATE

January 26, 2001

GROUP

2872

U.S. PATENT DOCUMENTS

| EXAMINER INITIAL | | DOCUMENT NUMBER | DATE | NAME | CLASS | SUB CLASS | FILING DATE IF APPROPRIATE |
|---------------------|----|--------------------|----------|---------------------------|-------|--------------|-------------------------------|
| | AA | 5,426,298 | 06/20/95 | Nobuo SAKUMA, et al. | | | |
| | AB | 5,475,522 | 12/12/95 | Akihisa ITABASHI, et al. | | | |
| | AC | 5,504,613 | 04/02/96 | Akihisa ITABASHI, et al. | | | |
| | AD | 5,633,744 | 05/27/97 | Tomohiro NAKAJIMA | | | |
| | AE | 5,648,864 | 07/15/97 | Akihisa ITABASHI | | | |
| | AF | 5,753,907 | 05/19/98 | Tomohiro NAKAJIMA, et al. | | | |
| | AG | 5,786,594 | 07/28/98 | Tatsuya ITO, et al. | | | |
| | AH | 5,793,408 | 08/11/98 | Tomohiro NAKAJIMA | | | |
| | AI | 5,936,756 | 08/10/99 | Tomohiro NAKAJIMA | | | |
| | AJ | 5,999,345 | 12/07/99 | Tomohiro NAKAJIMA, et al. | | | |
| | AK | 6,052,211 | 04/18/00 | Tomohiro NAKAJIMA | | | |
| | AL | 6,091,534 | 07/18/00 | Tomohiro NAKAJIMA | | | |

FOREIGN PATENT DOCUMENTS

| | | DOCUMENT NUMBER | DATE | COUNTRY | TRANSLATION YES | NO |
|--|----|--------------------|----------|-------------------------------|--------------------|----|
| | AM | 4-96014 | 03/27/92 | JAPAN (with English Abstract) | | X |
| | AN | 4-328715 | 11/17/92 | JAPAN (with English Abstract) | | X |
| | AO | 6-3613 | 01/14/94 | JAPAN (with English Abstract) | | X |
| | AP | 9-146129 | 06/06/97 | JAPAN (with English Abstract) | | X |
| | AQ | 2668725 | 07/04/97 | JAPAN (with English Abstract) | | X |
| | AR | 2722630 | 11/28/97 | JAPAN (with English Abstract) | | X |
| | AS | 6-255169 | 09/13/94 | JAPAN (with English Abstract) | | X |
| | AT | 10-68899 | 03/10/98 | JAPAN (with English Abstract) | | X |
| | AU | 11-95152 | 04/09/99 | JAPAN (with English Abstract) | | X |
| | AV | 11-174355 | 07/02/99 | JAPAN (with English Abstract) | | X |
| | AW | 9-33844 | 02/07/97 | JAPAN (with English Abstract) | | X |

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

| | | |
|--|----|--|
| | AX | |
| | AY | |
| | AZ | |

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

LIST OF RELATED CASES

| <u>Docket Number</u> | <u>Serial or Patent No.</u> | <u>Filing or Issue Date</u> | <u>Status or Patentee</u> |
|----------------------|---------------------------------|---------------------------------|-------------------------------|
| PER CLIENT | ✓ 09/500,731 | 02/09/00 | UNKNOWN |
| PER CLIENT | ✓ 09/550,412 | 04/17/00 | UNKNOWN |
| PER CLIENT | ✓ 5,786,594 | 07/28/98 | ITO et al. |
| PER CLIENT | ✓ 5,936,756 | 08/10/99 | NAKAJIMA |
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| PER CLIENT | ✓ 6,052,211 | 04/18/00 | NAKAJIMA |
| PER CLIENT | ✓ 6,091,534 | 07/18/00 | NAKAJIMA |
| 0557-3058-2 FWC | ✓ 5,475,522 | 12/12/95 | ITABASHI et al. |
| 0557-3102-2 | ✓ 5,504,613 | 04/02/96 | ITABASHI et al. |
| 0557-3164-3 CIP | ✓ 5,426,298 | 06/20/95 | SAKUMA et al. |
| 0557-3652-2 | ✓ 5,793,408 | 08/11/98 | NAKAJIMA |
| 0557-3722-2 | ✓ 5,633,744 | 05/27/97 | NAKAJIMA |
| 0557-3775-3 | ✓ 5,648,864 | 07/15/97 | ITABASHI |
| 0557-3806-2 | ✓ 5,753,907 | 05/19/98 | NAKAJIMA et al. |
| 0557-4969-2 | ° ✓ 09/552,657 | 04/19/00 | PENDING |
| 192377US2 | ° ✓ 09/608,165 | 06/30/00 | PENDING |
| 202462US2* | ✓ 09/769,510 | 01/26/01 | PENDING |

*Present application; listed for information.
GJM/sdj